



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

RECEIPT

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Technology Center 2100

In re application of:  
Paul GULKO, et al.

Application. No.: 09/745,011

Filed: December 20, 2000

Title: **METHOD AND APPARATUS FOR  
PERFORMING INSURANCE  
INSOLVENCY OPERATIONS**

Examiner: To be assigned

Docket No.: GFM-00101

**Certificate of Mailing**

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Commissioner for Patents; Office of Initial Patent Examination; Washington, D.C. 20231 on this date May 23, 2001.

*Tracey A. Newell*  
Name: Tracey A. Newell

**REQUEST FOR CORRECTION OF FILING RECEIPT DOCUMENTS**

Commissioner for Patents  
Office of Initial Patent Examination  
Washington, D.C. 20231

Sir:

Please make the following corrections on the Filing Receipt Document for the above-captioned application:

The number of drawings on the Filing Receipt is missing and should read: **49**

A copy of the Filing Receipt with the changes noted in red are submitted herewith. Should there be any questions concerning this request, the Examiner is invited to contact the undersigned at (617) 951-6661.

Respectfully submitted,  
HUTCHINS, WHEELER & DITTMAR

May 23, 2001

Date

*[Signature]*  
Anne E. Saturnelli  
Reg. No. 41,290  
Patent Group  
Hutchins, Wheeler & Dittmar  
101 Federal Street, Boston, MA 02110-1804



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/745,011	12/20/2000	2181	0.00	GFM-00101	49	66	2

CONFIRMATION NO. 7233

26339  
HUTCHINS, WHEELER & DITTMAR  
101 FEDERAL STREET  
BOSTON, MA 02110

## FILING RECEIPT



\*OC000000006082308\*

Date Mailed: 05/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Paul Gulko, Swampscott, MA;  
Linda Angelone, Everett, MA;  
Kathleen Lavin, Norwood, MA;  
Karen Anderson, Falmouth, MA;  
Denise Amigo, Malden, MA;  
James Winskowicz, Beverly, MA;

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Technology Center 2100

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 05/16/2001

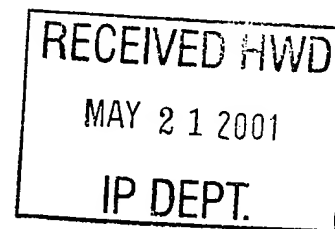
Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Method and apparatus for performing insurance insolvency operations



**Preliminary Class**  
705

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**Data entry by : LEE, KATY**

**Team : OIPE**

**Date: 05/16/2001**



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**RECEIVED**  
SEP 24 2001  
Technology Center 2100

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent application of:

GULKO, Paul et al.

Appln. No.: 09/745,011

Art Unit: 2181

Filed: December 20, 2000

Examiner: To Be Assigned

For: **METHOD AND APPARATUS FOR  
PERFORMING INSURANCE  
INSOLVENCY OPERATIONS**

Docket No.: GFM-00101

**Certificate of Mailing**

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Commissioner for Patents Washington, Box Missing Parts, D.C. 20231 on this date of May 24, 2001.

*Tracey A. Newell*  
Name: Tracey A. Newell

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts dated May 16, 2001 for the above-referenced patent application under 35 U.S.C. 371, Applicant respectfully submits the following:

1. An executed Declaration and Power of Attorney;
2. A copy of the Notice to File Missing Parts,
3. A check in the amount of \$1,668.00 to cover the filing fee and missing parts surcharge,
4. Return Receipt Postcard.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 501136**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 951-6661.

Respectfully submitted,  
HUTCHINS, WHEELER & DITTMAR

*Anne E. Saturnelli*  
\_\_\_\_\_  
Anne E. Saturnelli  
Reg. No. 41,290

Date May 24, 2001

Patent Group  
Hutchins, Wheeler & Dittmar  
101 Federal Street  
Boston, MA 02110



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/745,011	12/20/2000	Paul Gulko	GFM-00101

26339  
HUTCHINS, WHEELER & DITTMAR  
101 FEDERAL STREET  
BOSTON, MA 02110

CONFIRMATION NO. 7233

## FORMALITIES LETTER



\*OC000000006082309\*

Date Mailed: 05/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$828.  
    ▪ **\$828 for 46 total claims over 20.**
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1668.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/31/2001 BHABTEN 00000014 09745011  
01 FC:101 710.00 OP  
02 FC:103 828.00 OP  
03 FC:105 130.00 OP